

## REMARKS

Claims 1-21 are pending the above-mentioned application. The Office Action has allowed claims 2-6, 9, and 13-15. Claims 7, 8, 10-12, and 16-21 are objected to. In addition, the drawings are objected to.

More particularly, claim 1 has been rejected under 35 U.S.C. 102(e) as being anticipated by Hu, U.S. Patent No. 6,230,226, which is directed to a compound device implementing hub and function endpoints on a single chip. The Office Action has alleged that the configuration module, recited in claim 1, should be identified with items 41, 27, and 32 of the Hu reference. Item 41 is described as a decoder, item 27 as a function address register and item 32 as a multiplexer. Applicant respectfully submits that these items do not meet the limitation “a configuration module for configuring, without the need of a CPU, the communication channel between the slave device and the host device,” as recited in claim 1, because they still require the use of the host CPU 20, in Hu, to configure the device controller. As the Hu reference states, “[t]he back interface, and in particular, decoder 41 must handle the special case of enumeration. During enumeration, the addresses of all devices are set to a common starting address. Thus, during enumeration, the address for the function endpoint 52 and the hub endpoint 51 will be the same. Accordingly, the hub address register 26 and the function address register (27), will also be the same.” Hu ‘226, Col. 2, line 63 to Col. 3, line 2. As far as Applicant can determine, this enumeration is being carried out by the host 20, according to the USB convention. Therefore, Hu does not meet the limitation recited above because the host is involved in the enumeration. The only function of the backend interface and decode is to determine when a packet is destined for the hub endpoint or the function endpoint, as they share the SIE. Hu Abstract. Thus, Applicant believes that claim 1 is allowable.

With respect to objected-to claims 7, 16, 18, 20, Applicant, in the interests of putting these claims in good form for allowance, has rewritten these claims to include the limitations of claim 1, from which they depended. However, Applicant has struck the limitation “without the need of a CPU” from these claims, because it is believed that the limitations of claims 7, 16, 18, and 20 are sufficient to make these rewritten claims allowable.

With respect to objected-to claims 8, 10-12, Applicant believes that claims 8, 10 and 12 are allowable as they depend from rewritten claim 7 and that claim 11 is allowable as it depends from claim 10.

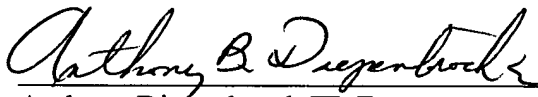
With respect to objected to claims 17, 19, and 21, Applicant believes that these claims are allowable as being dependent from rewritten claims 16, 18 and 20, respectively.

Applicant has amended the drawings to move the objected to material into the specification, in accordance with the request of the Examiner. A revised specification and drawings accompany this response.

For these reasons, and in view of the above amendments, this application is now considered to be in condition for allowance and such action is earnestly solicited.

Respectfully Submitted,

Dated: April 20, 2004

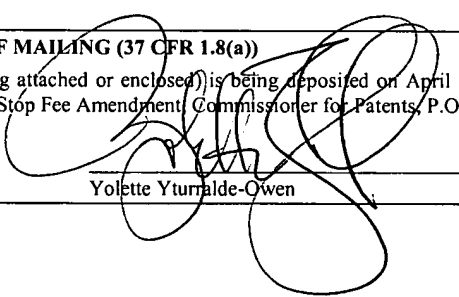
  
Anthony Diepenbrock III, Esq  
Attorney for Applicant  
Reg. No. 39,960

**DECHERT LLP**  
**Customer No. 37509**  
P.O. Box 10004  
Palo Alto, CA 94303  
Telephone: 650.813.4800  
Facsimile: 650.813.4848

**CERTIFICATE OF MAILING (37 CFR 1.8(a))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited on April 20, 2004, with the U.S. Postal Service as first class mail in an envelope addressed to: Mail Stop Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313-1450.

Date: April 20, 2004

  
Yvette Yturralde-Owen

## USB DEVICE CONTROLLER

## CROSS-REFERENCE TO RELATED APPLICATIONS

This application is a continuation-in-part of ~~application 54208-28 filed on \_\_\_\_\_, having SN \_\_\_\_\_ and is incorporated by reference herein~~U.S. Patent Application, Serial Number 09/191,443, filed on November 12, 1998, titled A UNIVERSAL SERIAL BUS (USB) RAM ARCHITECTURE FOR USE WITH MICROCOMPUTERS VIA AN INTERFACE OPTIMIZED FOR INTEGRATED SERVICES DEVICE NETWORK (ISDN) which is now U.S. Patent No. 6,219,736 B1, issued on April 17, 2001, which patent is incorporated herein by reference.

This application is related to ~~an application entitled "CONFIGURATION SELECTION FOR USB DEVICE CONTROLLER", filed on \_\_\_\_\_~~U.S. Patent Application Serial Number 09/670,509, filed September 26, 2000, titled CONFIGURATION SELECTION FOR USB DEVICE CONTROLLER.

## FIELD OF THE INVENTION

This invention relates generally to a device controller for a standardized bus and more particularly to an device controller for a USB device.

## DESCRIPTION OF THE RELATED ART

The proliferation of the personal computer has spawned a large market for peripheral devices for these personal computers. To attach these peripheral devices an interface bus, the ISA bus was developed. This bus required that a printed circuit board be attached to the bus which was accessible only by opening the personal computer system's housing. Although this means of attaching peripheral devices worked well, there were driver and resource sharing problems that led to the development of a higher-speed, internal bus, the PCI bus, and a lower speed external bus, the Universal Serial Bus (USB), which is designed to multiplex low-speed device transfers over a single pair of wires operating bidirectionally and requires only minimal resources from the system that hosts the USB.

The USB is a serial cable bus that supports packet-based transactions between a USB Host and one or more USB Devices. At the highest level, USB Devices implement one or more application-specific functions by means of USB interfaces, one for each function. A USB

interface includes one or more endpoints, where an endpoint is an addressable part of a device that acts as the sender or receiver of a transaction. The USB Host communicates with the endpoints of the USB device through pipes and each endpoint and pipe support certain control and data transfer types. Thus, a USB Host sees a USB Device as a collection of interfaces and pipes. However, the interfaces of a USB device must be configured and made known to the USB host and configuring a USB device requires that the USB device handle a complex high-level protocol on top of the packet-based transactions.

The USB system has brought forth several new types of interface devices for adapting a peripheral to the high-level protocols of the USB. Some of these types are a USB-to-clocked-serial interface, USB-to-FIFO and embedded USB in a microcontroller. In addition, special purpose interface devices are available which include USB-to-Ethernet, USB-to-Floppy Disc Controller, USB-to-IEEE parallel port, USB-to-Scan controller, USB-to-Keyboard, USB-to-Audio Codec, and USB-to-ATAPI devices. These devices typically include an 8-bit microcontroller that is programmed to handle the USB high-level protocols on the one hand and to manage the application specific interface on the other hand. These special purpose devices are usually produced in high volume and require a large design effort.

To answer a lower-volume need, while still retaining a flexible interface, a different type of USB device controller was developed by the applicant. This device, a USB-RAM, is described in U.S. Patent Application No. \_\_\_\_\_ (54208-00028), which is ~~hereby incorporated by reference into the present application.~~ Serial Number 09/191,443, filed on November 12, 1998, titled A UNIVERSAL SERIAL BUS (USB) RAM ARCHITECTURE FOR USE WITH MICROCOMPUTERS VIA AN INTERFACE OPTIMIZED FOR INTEGRATED SERVICES DEVICE NETWORK (ISDN), now U.S. Patent No. 6,219,736 B1, issued on April 17, 2001. USB-RAM device controller provides a general solution for connecting to the USB by providing an interface to the USB electrical bus and supporting the fixed protocol that is associated with all USB applications. The application specific aspects are handled by writing packets into a common memory and reading packets from the memory under interrupt control.

FIG. 1 shows a block diagram of the USB-RAM device controller 8, which has two interfaces, one of which 10 connects to the USB D+ and D- lines and the other, a client processor interface 12, which has address, data and control lines for connecting to a local microcontroller

14. The USB-RAM device controller 8 includes a serial interface engine, SIE 16, for sending and receiving packets on the USB in accordance with the USB protocols and an interfacing device 18 which comprises an endpoint register file 20, a common memory storage area 22 and control logic (not shown). The common memory storage area 22 includes data buffers 24 for holding operating data and data buffers 26 for transferring data between the function engine 14 and the USB interface 10.

FIG. 2. shows a more expanded block diagram of the device controller 8 and in particular the common memory 22. According to the figure, the common memory storage area 22 includes data buffers 30 used by the endpoints 20, an interrupt register image 32 and command register image 34, and an image area for the endpoint registers 36, 38 to allow the microcontroller to have access to the endpoint register file 20.

FIG. 3 shows a representative endpoint register from the endpoint register file 20. The endpoint register 40 has a byte count field 42, a packet count field 44 for tracking how many packets are to be sent or received, a valid field 46 which determines whether the endpoint is valid, a type field 48 for holding the type of endpoint described by the register, a page number field 50 and index field 52 for accessing the common memory storage 22 of FIG. 1.

Transfers to the USB host 28 in FIG. 1 occur when the local microcontroller 14 connected to the client processor interface 12 writes a data packet into the common memory 22, sets up a packet pointer 50, 52 and a length counter 42 in the relevant endpoint register 40, checks to determine that the command register image 34 is cleared and then writes a send command into the command register image 34. The local processor 14 receives an interrupt that the data packet was sent on the USB to the host processor 28.

Transfers from the USB host 28 in FIG. 1 occur by the host processor 28 sending a data packet on the USB bus to the device controller 18, which causes the data packet to be stored in the common memory 22 at a location specified by the relevant endpoint register 40 in FIG. 3. The local processor 14 connected to the client interface 12 of the device controller 18, receives an interrupt with an endpoint specific code that gives it notice that a packet has been received in the common memory 22. The local processor 14 then reads the interrupt code which identifies the endpoint, then reads the endpoint register image 38 to find the pointer 50, 52 to the packet and its length 44 and then reads the packet. A flag is set in the device controller to indicate to the

USB interface that the local processor 14 has read the data packet and is ready for another. Prior to setting the flag, auto-NAKS are generated to the USB host.

However, the special purpose devices described above and the USB-RAM device controller as well suffer from a new problem because of a change to the USB specification. The speed of the USB, according to version 2.0, has increased greatly, from 12 MHz to 480 MHz. With this speed increase, it is very difficult for a local microcontroller or processor to keep up with the demands of the bus and handle the high-level protocol without slowing the bus down to an unacceptable speed. A protocol mechanism for slowing the bus down does exist (the ~~auto-NAK~~autoNAK mechanism) but using it is undesirable for a USB 2.0 type bus.

Therefore, there is a need for a new USB device controller, one that provides a generalized and configurable solution to connecting to the USB and operates at speeds that will not slow down the USB running at 480 MHz. Furthermore, there is a need that such a device be available for low and medium volume applications, not involve a large design effort to bring to market and for the device to be flexible enough to support a number of useful configurations so that only one device is needed for the most common configuration cases.

#### BRIEF SUMMARY OF THE INVENTION

The present invention is directed to the foregoing needs. A device controller in accordance with the present invention includes a serial interface engine having a serial port for connecting to the serial bus and a data port. The serial interface engine generates and interprets packets on a serial bus that connects a slave device which includes the device controller and a function engine to a host device. The serial interface engine also transfers data between the serial bus and the data port. The device controller also includes an interfacing device connected between the data port of the serial interface engine and the function engine to transfer data between the serial interface engine and the function engine and includes a configuration module for configuring the communication channel between the slave device and the host device.

The interfacing device includes at least one register for storing configuration information relating to a communication channel between the slave device and the host device and at least one memory for holding operating data relating to the communication channel. The configuration module is connected to the at least one memory and includes a plurality of finite state machines that are operative to receive and respond to a request from the host device.

An advantage of the present invention is that the device controller keep pace with the high speed of the USB without stalling the host or the bus.

Another advantage is that the interfacing device provides a uniform and low cost means for connecting a function engine to the USB SIE.

Another advantage is that the configuration process, the most complex aspect of the USB device operation, is removed from the user's responsibilities and built into a interfacing device.

#### BRIEF DESCRIPTION OF THE DRAWINGS

These and other features, aspects and advantages of the present invention will become better understood with regard to the following description, appended claims, and accompanying drawings where:

FIG. 1 shows the architecture of the USB-RAM device controller;

FIG. 2 shows a more detailed block diagram of the USB-RAM device controller;

FIG. 3 shows an endpoint register of FIG. 2;

FIG. 4 shows a block diagram of an embodiment of the present invention;

FIG. 5 shows a state machine system for configuring an interfacing device in accordance with the present invention;

~~FIGs. 6A, 6B, 6C and 6D set forth a description in a high level hardware modeling language of the state machine system of FIG. 5;~~

FIG. 7~~6~~ shows a plurality of selectable state machines for implementing a configuration state machine;

FIG. 8~~7~~ shows a selection mechanism for selecting one of the plurality of state machines of FIG. 7~~6~~;

FIG. 9~~8~~ shows a plurality of selectable configuration state machines and a plurality of descriptor state machines;

FIG. 10~~9~~ shows an application of an embodiment of the present invention; and

FIG. 11~~10~~ shows an additional application of an embodiment of the present invention.

## DETAILED DESCRIPTION OF THE INVENTION

To understand the functions of the present invention, a portion of the USB packet, transaction and setup protocol is described below.

The packet-based transactions on the USB through the pipes described above between the USB Host and USB Device consist of one or more packets transferred over the USB. All packets that can be transferred over the USB fall into three categories, (i) token, (ii) data or (iii) handshake packets.

A token packet identifies the direction of a bus transaction, the address of the USB device, and the endpoint in the USB device involved with the host in the transaction. A data packet carries device specific data between the USB-Host and a device's endpoint. Lastly, a handshake packet is used to return flow control information for a bus transaction.

The four types of information transfers, bulk, interrupt, isochronous and control, each employ the above packets types to carry out the transfer. For example, in a bulk transfer, the host sends a token packet, IN or OUT (where the direction is relative to the host), the data is then transferred in a data packet between the device and the host and finally a handshake packet, such as ACK, is delivered by the device or the host depending on the direction of the transfer, or a NAK or STALL is delivered by the device. The handshake packet ACK means that the data was transferred successfully. The NAK means that the device is not ready to transfer data and the STALL means that host intervention of the device is required, probably due to an error condition.

An important type of information transfer is a control transfer. This type of transfer is used to configure and initialize a USB device, including its interfaces and endpoints. A control transfer has three Stages, each of which conforms to the token-data-handshake sequence. The three Stages are the Setup Stage, the Data Stage and the Status Stage. The token packet of the Setup Stage is called a Request and specifies a command sent from the host to the device via a special pipe, the Default Pipe. The Request has a well-defined structure that is described below. Any data needed beyond what is contained in the Request or any data returned by the device is sent during the Data Stage. The USB device returns a handshake packet in the Setup Stage with an ACK to accept the Request token sent by the host.

As mentioned above there is a well-defined structure for a Request token. In particular, a Request, shown in Table A, is 8 bytes long having a request field (1 byte), a request



type field (1 byte), a value field 2 bytes), an index field (2 bytes) and a length field (2 bytes).

Request Packet		
Field	Size	Description
request_type	1 byte	Gives the request characteristics
request	1 byte	the actual request
value	2 bytes	data specific to the request
index	2 bytes	information identifying a particular interface or endpoint, if the request is so targeted.
length	2 bytes	length of a possible data stage

TABLE A

The request\_type field (Table B) determines the direction of any data stage associated with the request, the type of Request (Standard, Class, Vendor), and the type of target for the request (Device, Interface, Endpoint, Other). If the target is an interface or endpoint, then the index field is used to specifically identify that target.

Request_type	
Bits	Description
7	Direction of Data Stage (if there is one)
5-6	Type of request: <div style="text-align: right; padding-right: 20px;">STANDARD: 0</div> <div style="text-align: right; padding-right: 20px;">CLASS: 1</div> <div style="text-align: right; padding-right: 20px;">VENDOR: 2</div>

0-4	Type of Target:  DEVICE: 0 INTERFACE: 1 ENDPOINT: 2 OTHER: 3
-----	---

TABLE B

The value field is a two byte field that can hold a configuration value and the length field specifies the length of a data stage if the host needs to send more bytes than the value field can hold.

There are 10 standard Request packets (Table C) a host device can send to a USB device. Included in the standard requests are GET\_STATUS, GET\_DESCRIPTOR, GET\_CONFIGURATION, GET\_INTERFACE, SET\_ADDRESS, SET\_CONFIGURATION. These requests are briefly described below.

Code	Request
0	GET_STATUS
1	CLEAR_FEATURE
2	reserved
3	SET_FEATURE
4	reserved
5	SET_ADDRESS
6	GET_DESCRIPTOR
7	SET_DESCRIPTOR
8	GET_CONFIGURATION
9	SET_CONFIGURATION
10	GET_INTERFACE
11	SET_INTERFACE

TABLE C

The GET\_STATUS Request retrieves a two-byte bit map of the status for a device, interface or endpoint in the data stage of the request. The GET\_DESCRIPTOR Request retrieves a specified type of standard descriptor from the USB device. There are five types of descriptors, (a) device, (b) configuration, (c) interface, (d) endpoint and (e) string descriptor. The GET\_CONFIGURATION Request returns the current configuration value which is expected to be non-zero if the device is configured. The particular configuration is described by a configuration descriptor. The GET\_INTERFACE Request returns the alternate setting for a particular interface. The SET\_ADDRESS Request enables the host to assign an address to a USB device, which causes the device to enter the Addressed state from the Default state. The Default state is entered after the device is attached to the USB, powered up and reset. The SET\_CONFIGURATION Request sends a configuration value to a device. Upon the successful receipt of the Request the device enters the Configured state from the Addressed state. A device can be de-configured by this command, in which case it returns to the Addressed state.

As described above, for a USB Device to function according to the application-specific function which the device provides, the device must be configured. To become configured a USB Device must transition through several states.

When the device is first attached to the USB the device is in the Attached state. From the Attached state the device enters the Powered state when power is applied following which it enters the Default State when reset is applied. In the Reset state the device responds only to address 0h and only the Default Pipe can be used by the host to access the device. The Default Pipe comprises a control endpoint that is bidirectional and this pipe is available before configuration.

The host accesses the device via the Default Pipe to determine its description but must set the address of the device to a non-zero value before configuring the device. When a non-zero address is assigned, the device enters the Addressed state. From this state the host can then configure the device, which means establishing the interfaces and pipes of the device and their characteristics. The descriptors mentioned above are used to set and alter the configuration of a device and the standard descriptors are organized in a defined hierarchy that matches the configuration hierarchy of the device. Thus, there is a device descriptor, which characterizes the device as a whole, at least one configuration descriptor and descriptors for all of the interfaces of

that configuration. Finally, there are endpoint descriptors for each interface and there is a string descriptor for storing user-readable information in the device. A USB device must support the high-level protocol of descriptors to become configured and known to the USB host before it can perform the functions of the interfaces that it supports.

Turning now to FIG. 4, a block diagram of an embodiment of the present invention is shown. Depicted in the block diagram are a serial interface engine (SIE) 16 which connects a USB device to the serial bus and which serializes and de-serializes packets on the bus for the device, and an interfacing device 60 that includes a USB control block 62 with an endpoint register file for the device, one or more dedicated memory blocks 66, 68, 70, 72, and one or more finite state machines including a setup module 74. A memory block 68, 70, 72 and an associated finite state machine (not shown) operate to support the functionality of an endpoint. In the embodiment shown, one memory block 66 associated with the configuration endpoint holds operating data. This memory block 66 preferably includes read-only memory for storing descriptor strings. Alternatively, the descriptor strings are stored in the fixed programming of the setup module 60, which is described in detail below. Another dedicated memory block 72 holds control information for an endpoint that supports interrupt pipe transfers and an associated finite state machine (not shown) interprets the command information sent ~~beby~~ means of the interrupt pipe transfers. Additionally, there are dedicated memory blocks for endpoints that support data transfers, one memory block 68 for the IN direction and one block 70 for the OUT direction. A function engine 76 that includes optional A/D and D/A circuitry and a FSM, for controlling the A/D and D/A circuitry, connects to the dedicated command, IN and OUT memories.

The setup module facilitates the configuration of the USB device.

## ~~THE SETUP MODULE~~

### THE SETUP MODULE

A block diagram of the SETUP Module, ADA\_FSM 100, is shown in FIG. 5 and a high level hardware language description of the module is set forth in ~~FIGs. 6A-6~~ Table D.

```
module ADA (clk, reset, dpdi, dpdout, usb_endpt, dp_l_read,  
            dp_l_write, set_token, valid_token, stall, Vo, Vi)  
output [7:0] dpdi;
```

```

output_stall;
input [7:0] dpdout;
input [3:0] usb_endpt;
input set_token, valid_token, dp_l_read, dp_l_write;

SETUP_FSM

setup_FSM (.dpdout(dpdout), .clk (clk), .reset(reset),
.data (data), .mux (mux), dp_l_write(dp_l_write), .set_token
(set_token), .valid_token (valid_token));

INTERPRET_FSM

interpret_FSM(.clk (clk), .reset (reset), .data (data), .stall
(stall), .mux (mux), .sel (sel), .address (address), .config
(config), .descrip (descrip), .DIR (DIR), .STD (STD), .etc.);

DESCRIP_FSM

descrip_FSM (.d_data (d_data), .dp_l_read (dp_l_read),
.descrip (descrip), .reset (reset), .clk (clk));

CONFIG_FSM

config_FSM(.c_data (c_data), .dp_l_read (dp_l_read),
.config (config), .reset (reset), .clk (clk));

ADDRESS_FSM

address_FSM (.a_data (a_data), .dp_l_read (dp_l_read),
.address (address), .reset (reset), .clk (clk));

always @ (posedge clk) begin: Out_Mux:
case (sel)
0 : s_data = d_data; // select descriptor data.
1 : s_data = c_data; // select config data.
2 : s_data = a_data; // select address data
3 : s_data = other; // select other appropriate data.
endcase
end
wire [ 7:0 ] dpdi = (usb_endpt == 0) ? AD_out : s_data;
endmodule

```

TABLE D

The SETUP Module 100 includes two control state machines, SETUP\_FSM 102 and INTERPRET\_FSM 104, and one or more data delivering state machines, DESCRIP\_FSM 106, CONFIG\_FSM 108, ADDR\_FSM 110. In one embodiment of the present invention, a data delivering state machine, such as DESCRIP\_FSM 106 and CONFIG\_FSM 108 is implemented as a single state machine and in another embodiment a data delivery state machine is selected

from a plurality of state machines.

The SETUP\_FSM 102 of the SETUP Module captures and saves a standard Request carried on the USB and a state machine, INTERPRET\_FSM 104, interprets the saved standard Request. One or more data delivery state machines 106, 108, 110 release the information requested in the Request for transmission over the USB to the Host device.

The SETUP\_FSM 102 has an interface with the following inputs and outputs as shown in FIGs. 6A and 6B. Table E.

Inputs	Outputs
dpdout[7:0]	data[7:0]
clk	interpret
reset	ACK?
mux[2:0]	
dp_l write	
set token	
valid token	
usb_endpoint[3:0]	

TABLE E

```

module SETUP_FSM (dpdout, clk, reset, data, mux,
dp_l write, set_token, valid_token);

input [7:0] dpdout;
input [3:0] usb_endpt;
input clk, reset, valid_token, set_token, dp_l write;

output [7:0] data;
output interpret; reg interpret;

reg[7:0] REQUEST, REQ, VALUE1, VALUE2, INDEX1,
INDEX2, LENGTH1, LENGTH2;
reg[3:0] state, next_state;

always @ (negedge clk)
if (set_token & valid_token) state = get_SETUP;
else state = next_state;
always @ (posedge dp_l write or posedge reset)

begin: SETUP_FSM
if (reset) begin
next_state = get_SETUP;
interrupt <= 0;
end
else if (valid control endpoint and set_token)
begin case (state)

```

```

get_SETUP : begin
    interrupt <= 0;
    REQ[7] = dpdout[7];
    REQ[6:5] = {dpdout[6], dpdout[5]};
    next_state = get_REQ;
end
get_REQ: begin
    REQUEST = dpdout; // [7:0]
    next_state = get_VALUE1
end
get_VALUE1: begin
    VALUE1 = dpdout;
    next_state = get_VALUE2;
end
get_VALUE2: begin
    VALUE2 = dpdout;
    next_state = get_INDEX1;
end
get_INDEX1:
get_INDEX2:
get_LENGTH1: begin
    LENGTH1 = dpdout;
    next_state = get_LENGTH2;
end
get_LENGTH2: begin
    LENGTH2 = dpdout;
    next_state = get_LENGTH2;
    interpret <= 1; // enable interpret_FSM
end
endcase
endmodule

```

TABLE F

Internally, besides control circuitry, the SETUP\_FSM has a registers 112, 114, 116-126 for storing information about a Request. In particular, a register 112 stores the REQ\_type, register 114 stores the REQUEST, registers 116-126 store the remaining bytes in the Setup Stage. The registers in the SETUP\_FSM are written with data from the dpdout[7:0] bus 130 by a edge of the dp\_l1\_write signal 132. The set\_token signal 134 and valid\_token signal 136 are used to start the SETUP\_FSM 102. The mux[2:0] inputs 138 select one of the registers 112-126 internal to the SETUP\_FSM machine 102 to be output on to the data bus, data[7:0] 140.

The INTERPRET\_FSM 104 has the following inputs and outputs as shown in FIG. 6C-Table G.

Inputs	Outputs	Outputs
--------	---------	---------

86401.3.17

clk	address	valid
reset	config	sel[2:0]
data[7:0]	descrip	VEND
mux[2:0]	DIR	ERR
interpret	STD	stall
	CLASS	

TABLE G

```

module INTERPRET_FSM (clk, reset, data, stall, mux, sel,
address, config, descrip, DIR, STD, etc.);
input [7:0] data;
input interpret;
output [2:0] mux, sel;
output address, config, descrip; // or other interpreted
requests
output DIR, STD, etc; // CLASS, VENDOR, etc.

reg address, config, descrip;
reg [2:0] state, next_state;

always @ (state or interpret or data) begin : INTERPRET
if (interpret) begin

case (state)
INIT: begin
stall = 0;
mux = REQ_type;
next_state = got_REQ_type;
end

got_REQ_type: begin

DIR <= data[7];

case ({ data[6], data[5] })

00 : STD = 1;
01 : CLAS = 1;
10 : VEND = 1;
11 : ERR = 1;

endcase
next_state = get_REQ;
end

get_REQ: begin
mux = sel_REQ;
next_state = got_REQ;
end
got_REQ: begin
case (data)
00: begin

```



```

    sel = `STATUS;
    status = 1;
    next_state = get_status;
end

05: begin
    sel = `ADDRESS;
    address = 1;
    next_state = get_address;
end

06: begin
    sel = `DESCRIP;
    descrip = 1;
    next_state = get_desc;
end

08: begin
    sel = `CONFIG;
    do_config = 1;
    next_state = get_config;
end

0A: begin
    sel = `INTERFACE;
    interface = 1;
    next_state = get_interface;
end

default: stall = 1;

endcase //data
end

get_address : begin
mux = VALUE2;
if (interpret) next_state = get_address;
else next_state = INIT;
end

endcase //state
end
always @ (posedge clk) begin
    if(reset) state = INIT;
    else state = next_state;
end
endmodule

```

TABLE H

The INTERPRET\_FSM 104 (Table H) receives the Request from the SETUP\_FSM 102 over data bus 140 and is started when the SETUP\_FSM 102 sets the interpret flag 142. During its operation, the machine, sets the DIR 144, STD 146, CLASS 148, VEND 150, and ERR 152

outputs depending on the content of the Request, and cycles through the binary values of the mux[2:0] 138 output to select the registers 112-126 of the SETUP\_FSM 102. The INTERPRET\_FSM 104 machine also sets the sel[2:0] 154 to select for output one of several data delivery state machines, and enables one of those machines by means of an output, either address 156 for the ADDR\_FSM 110, config 158 for the CONFIG\_FSM 108, or descrip 160 for the DESC\_FSM 106.

The data delivery state machine DESCRIP\_FSM ~~106~~106, in Table I, has the following inputs and outputs as shown in FIG. 6DTable I.

Inputs	Outputs
clk	desc
reset	
d_data[7:0]	
dp_1_read	
descrip	

TABLE I

```

Module DESCRIP_FSM (desc, descrip, dp_1_read)
output [7:0] desc;
input descrip, dp_1_read;

always @ (posedge dp_1_read) begin: descrip_FSM
if (descrip) begin
case (state)
0: begin
desc = length; //18
state = 1;
end
1: begin
desc = type; // = 1
state = 2;
end
2: begin
desc = USB.version; //1
state = 3;
end
3: begin

```

```

    desc = next_byte, etc.
    state = 4;
end

    states 4 thru 16 not shown

17: begin
    desc = last_byte
    state = last_state;
end

    last_state: begin ...
endcase
end
endmodule

```

TABLE I

The CONFIG\_FSM 108 and ADDRESS\_FSM 110 have similar inputs and outputs as shown in FIG. 6C Table I. A data delivery state machine, as shown in the figuretable, receives a flag 156, 158, 160 from the INTERPRET\_FSM machine 104 that starts the machine and the dp\_11\_read signal 162 that clocks the release of the data information from one of the delivery machines to the Dpdin[7:0] bus 164 via an intermediate bus 166, assuming a particular state of the sel[2:0] lines 154.

#### OPERATION OF THE SETUP\_FSM

Referring to FIG. 6B Table E, the SETUP\_FSM 102 bus data[7:0] connects to the INTERPRET\_FSM 104 input bus 140 and the dpdout[7:0] bus is the input bus Dpdout[7:0] 130 to the SETUP\_FSM machine. As described above, a Request follows the token-data-handshake model. Therefore, the first packet in a Request is the setup token packet and this packet must be detected by the SETUP Module to get things started. (The setup token packet contains the ADDR and ENDP fields, which identify device and endpoint targeted for communication.) The endpoint in question is captured in the usb\_endpt[3:0] register (not shown).

When SETUP\_FSM 102 detects the receipt of a token packet and if the valid\_token is true, the state machine transitions from its idle state to the get\_SETUP state to start

reading an eight byte data packet that follows the token packet.

In the get\_SETUP state, the first byte, REQ\_type, of the data packet is stored on the edge of the dp\_11\_write signal, dpdout[7] being stored in REQ[7], and dpdout[6:5] being stored in REQ[6:5].

Next, the SETUP\_FSM transitions to the get\_REQ state to capture the second byte, request on the edge of the dp\_11\_write signal.

Following this, the SETUP\_FSM moves to the get\_VALUE1 and get\_VALUE2 states to capture the two value bytes, after which it moves to the get\_INDEX1 and get\_INDEX2 to capture the index bytes, and finally to the get\_LENGTH1 and get\_LENGTH2 states to capture the length bytes. These bytes are also captured on the edge of the dp\_11\_write signal.

At this point, all of the bytes of the data packet of the SETUP stage of a Control Transfer have been captured and the interpret flag, is set to start the INTERPRET\_FSM state machine. Also an ACK has been sent to the host to complete the SETUP stage of the Control Transfer.

#### OPERATION OF INTERPRET\_FSM

The INTERPRET\_FSM 104 now operates to interpret the Request. The data[7:0] bus receives data from the SETUP\_FSM, the mux[2:0] 138 controls the output selector 170 of the SETUP\_FSM 102 to select one of the internal registers 112-126 of the SETUP\_FSM machine 102. The sel[2:0] 154 bus controls the output selector 172 to select one of the data delivery state machines for output onto the intermediate bus 166.

In state "0", the REQ\_type value is assigned to the mux[2:0] register, stall is set to 0 and the machine advances to state got\_REQ\_type. The mux[2:0] register selects the input multiplexer channel, enabling the data on the data[7:0] input from the SETUP\_FSM machine to be received by the INTERPRET\_FSM.

In the got\_REQ state, the data[7] value is placed in the DIR output to control the direction of the transfer (to the host), and the outputs STD, CLASS, VEND, ERR are set according to the data[6:5] field of the Request byte after which the machine advances to the get\_REQ\_state.

In the sel\_REQ state, the SEL\_REQ is copied into the mux[2:0] register and the machine advances to the got\_REQ state in which the Request is parsed to determine what the

specific Request is.

The INTERPRET\_FSM, in the got\_REQ state, considers the possible standard Requests, SET\_STATUS, SET\_ADDRESS, GET\_DESCRIPTOR, GET\_CONFIGURATION, and GET\_INTERFACE.

If the Request is SET\_STATUS, then the status variable is set to a one and the sel[2:0] register is set to the value of 'STATUS'.

If the Request is SET\_ADDRESS, then variable address is set to a one and the sel[2:0] register is set to the value of 'ADDRESS'. The sel[2:0] register is used to select an appropriate output state machine, STATUS\_FSM, DESCRIP\_FSM, CONFIG\_FSM or INTERFACE\_FSM, into an output port dpdi[7:0]. ADDRESS\_FSM generates the sel\_ADDR signal to output the address from register in the SIE. If the Request is one of the get commands, then either descrip, do\_config, or interface flag is set to a one and the sel[2:0] register is set to either the 'DESCRIP', 'CONFIG', OR 'INTERFACE' values to select the respectively-named state machine, depending on which Request was received. For any other Request, the INTERPRET\_FSM sets the stall flag to cause a stall in the handshake phase that follows the data phase of the Data Stage of the Control Transfer.

If the Request was a SET\_ADDRESS, then the get\_address state of the INTERPRET\_FSM is entered, the mux[2:0] register is set to the VALUE2 parameter and if the interpret flag is true, the INTERPRET\_FSM machine spins in the get\_address state. Otherwise, the INTERPRET\_FSM machine goes to the INIT state and spins.

One of several state machines can be started by the INTERPRET\_FSM. It is assumed that the DESCRIP\_FSM was set to run for the following description.

#### OPERATION OF THE DESCRIP\_FSM

The function of the DESCRIP\_FSM 106 is to deliver a descriptor in the Data Stage of the Control Transfer.

First, in state 0, the DESCRIP\_FSM 106 sends out a length byte over the desc[7:0] output port. and then proceeds to state 1,

In state 1, a type byte is sent over the desc[7:0] port and the machine advances to

state 2.

In state 2, a USB version byte is sent, and the machine advances to state 3, in which the machine sends the next byte of the descriptor. The state machine continues to advance through states 4-17 until the last descriptor byte is sent. The result is that a 17 byte descriptor is sent back to the USB host. Each byte that is sent back to the host, is sent on the occurrence of an edge of the `dp_11_read` signal, which functions as a clock that advances the state machine through its states. The `sel[2:0]` register from the `INTERPRET_FSM` has selected the `DESCRIP_FSM` for output which is also qualified with the `usb_endpt[3:0]` register, which holds an index value to an endpoint register from which the host is requesting the descriptor. The Setup token contained the endpoint that is the target for communication and this information was captured in the `usb_endpt[3:0]` register.

As mentioned above, a data delivery state machine is either a single state machine or a state machine selectable from a plurality of state machines, each having data for a specific configuration.

FIG. 7 shows a plurality of selectable state machines 180 for implementing a configuration state machine in accordance with an alternative embodiment of the present invention. Each of the selectable machines 182-190 has a configuration descriptor, one or more interface descriptors for that configuration and one or more endpoint descriptors for each interface. While the length of a device descriptor has a minimum value, the length of a configuration descriptor can be long because the configuration descriptor is a concatenation of all the interface and endpoint descriptors for a configuration. It is preferred in the present invention, to implement a number of selectable configurations in a plurality of finite state machines, each of which has a fixed configuration descriptor, despite the length of the configuration descriptor. This avoids the need for multiple USB device interfaces when different configurations are required. The increase in cost caused by the added silicon area to implement a plurality of configuration state machines is more than offset by the costs of having multiple devices, one per configuration, each handling only a single, fixed descriptor. These costs include mask, NRE, testing, packaging, inventory and advertising. Thus, costs are effectively decreased and functionality is increased by designing multiple descriptors in silicon and allowing the device user to select the configuration.

As an example, a configuration that is suitable for an audio device includes a control endpoint, an interrupt-IN endpoint and two isochronous endpoints, one for IN data and one for OUT data. Another configuration for a mouse or joystick controller device includes a control endpoint and an interrupt-IN endpoint. A third configuration for a floppy disc controller, includes an interrupt endpoint, and bulk-IN and bulk-OUT endpoints. As described above, rather than having three different interfacing devices, all three configurations are implemented as selectable configurations in the same interfacing device. However, only one of the configurations is available for any given application. The host cannot negotiate a configuration with the USB device. Instead, the USB device simply makes available one of its configurations which is thereafter not alterable after the USB device has been configured for the particular application.

FIG. 76 shows one of the selection mechanisms. This selection mechanism includes a set of user-configurable pins 192 for encoding a binary number as a selection code and a data selector 194 to select one of the plurality of configuration state machines 182-190.

FIG. 87 shows a combined selection mechanism. This selection mechanism includes a writable register 196 that holds a selection code and a decoder 200 whose outputs 202 control data selector or equivalent circuit 194 to select one of the state machines 182-190 of FIG. 7. ~~Multiplexor~~ Multiplexer 198 is not required if the writable register 196 is used instead of the user-configurable pins 192. The combined selection mechanism combines the user-configurable pins and the writable register and includes additional ~~multiplexor~~ multiplexer 198 for selecting either the selection code from either source. In the combined selection mechanism, an additional bit 204 is used to control selection by the additional ~~multiplexor~~ multiplexer 198. In the preferred implementation, the extra bit 204 defaults to a value that selects the external pins 192 as the source of the selection code 195. In an implementation that connects a microprocessor such as an 8051 or equivalent to the USB device interface, the preferred location of the writable register 196 is the special function register file of the 8051-type microprocessor.

As described above, either of the data delivering machines, DESCRIP\_FSM 106 in FIG. 5, CONFIG\_FSM 108, is implemented, in accordance with the present invention, as a state machine selected from a plurality of selectable state machines. FIG. 98 shows a case in which both the DESCRIP\_FSM and the CONFIG\_FSM are implemented by a plurality of selectable state machines. Sel #1 selects a first combination 206 of the device descriptor and configuration

state machines and Sel #N selects the nth combination 208 of the these state machines. If both the DESCRIP\_FSM and CONFIG\_FSM state machines are implemented in this fashion, selection of a state machine from each plurality of state machines comes from the same selection source 204, thereby coordinating a compatible selection of device descriptor from the DESCRIP\_FSM with a configuration descriptor from the CONFIG\_FSM state machine.

FIG. 402 shows an application of an embodiment of the present invention. In this application the function engine 220 is a CY325++ device that has the functionality required for driving a LCD display 222. In accordance with the present invention, the endpoint register 224 in the USB\_ctl block 226 points to a code buffer 228 which receives commands requesting the display of data on the LCD display device 222. A state machine 230 handles the interface between the code buffer 228 and the CY325++ device 220. In this application, only an OUT endpoint and a COMMAND endpoint are required because the display is a write-only peripheral.

FIG. 410 shows an expanded application of an embodiment of the present invention. In this application the function engine is still the CY325++ 220, however there are two LCD windows 222 to be supported by the function engine. For this functionality, a command endpoint (code) 228, and two OUT endpoints (data1 240 and data2 242) are required along with a configuration module (SETUP) 100. The command endpoint receives commands in the code memory and a finite state machine FSM 230 interprets the commands to operate the interface of the CY325++ 220. The data1 240 and data2 242 endpoints receive data for the DATA1 and DATA2 portion of the LCD display 222 respectively. The state machine 230 operates the CY325++ interface so that internal registers of the CY325++ device 220 properly receive the commands and display data.

Although the present invention has been described in considerable detail with reference to certain preferred versions thereof, other versions are possible. Therefore, the spirit and scope of the appended claims should not be limited to the description of the preferred versions contained herein.



## CLAIMS

What is claimed is:

1. A device controller for establishing a communication channel between a slave device and a host device, the slave device including the device controller and a function engine, the slave device and host device being connected to a packet-switched serial bus, the device controller comprising:

a serial interface engine having a serial port that connects to the serial bus and a data port, the serial interface engine for generating and interpreting packets on the serial bus and transferring data between the serial bus and the data port; and

an interfacing device connected between the data port of the serial interface engine and the function engine to transfer data between the serial interface engine and the function engine, the interfacing device including a configuration module for configuring the communication channel between the slave device and the host device.

2. A device controller as recited in claim 1, wherein the interfacing device further includes:

at least one register that stores configuration information relating to the communication channel between the slave device and the host device; and

at least one memory that holds operating data relating to the communication channel;

wherein the configuration module is connected to the at least one memory and includes a plurality of finite state machines that are operative to receive and respond to a request from the host device.

3. A device controller as recited in claim 2, wherein the configuration module includes:

a first state machine for receiving and storing a request packet from the host, the first state machine having an output line carrying a signal indicating that a request packet has been stored and is available to be interpreted;

a second state machine for accessing and interpreting the request packet in response to the output signal of the first finite state machine, the second state machine having at

least one output line for carrying a data transfer signal, and activating the data transfer signal based on the interpretation of the request packet; and

at least one data transfer state machine for transferring requested data in response to an active data transfer signal.

4. A device controller as recited in claim 3,  
wherein the request is a GET\_DESCRIPTOR request; and  
wherein the data transfer state machine delivers at least a seventeen-byte descriptor to the serial interface engine to be sent to the host device.

5. A device controller as recited in claim 3,  
wherein there are a plurality of data transfer state machines; and  
wherein only one data transfer state machine receives an active data transfer signal.

6. A device controller as recited in claim 3,  
wherein the type of request interpreted by the second state machine is not supported; and  
wherein the second state machine indicates to the serial interface engine to issue a stall packet to the host.

7. A device controller as recited in claim 1, further comprising:  
at least one endpoint associated with the function engine; and  
a group of state machines associated with the function-engine endpoint.

8. A device controller as recited in claim 7,  
wherein the endpoint includes an endpoint register having a type field for indicating the type of data transfer the endpoint supports; and  
wherein the type field is accessible by at least one of the state machines in the group associated with the endpoint.

9. A device controller as recited in claim 7,

wherein the group of state machines has a clock line for carrying a clock signal;  
wherein the serial interface engine detects a start-of-frame condition on the serial bus; and

wherein the clock signal for the group of state machines is derived from the start-of-frame condition.

10. A device controller as recited in claim 7,  
wherein the function-engine endpoint is an OUT-type endpoint; and  
wherein the group of state machines associated with the function engine endpoint includes:

a data storage state machine that holds data sent from the host for the function

engine; and

a command state machine that interprets the stored data in the data storage machine to operate the function engine.

11. A device controller as recited in claim 10, wherein the function engine includes a D/A converter.

12. A device controller as recited in claim 7,  
wherein the function-engine endpoint is an IN-type endpoint; and  
wherein the group of state machines associated with the function engine endpoint includes a data collecting state machine that holds data sent from the function engine for the host; and

a command state machine that interprets commands from the host to read the data in the data collecting state machine.

13. A device controller as recited in claim 12, wherein the function engine includes an A/D converter.

14. A device controller as recited in claim 7, wherein the endpoint has a register with a bit indicating whether the endpoint is ready to communicate with the host, a not-ready indication instructing the serial interface engine to send an auto-NAK to the host.

15. A device controller as recited in claim 14, wherein at least one of the state machines in the group determines that the endpoint is ready for communication with the host and sets the bit in the register to indicate that the endpoint is ready.

16. A device controller as recited in claim 1, further comprising:  
at least two endpoints associated with the function engine; and  
a group of state machines associated with each function-engine endpoint.

17. A device controller as recited in claim 16,  
wherein the first endpoint associated with the function engine is an OUT-type endpoint; and  
wherein the group of state machines associated with the function engine endpoint includes a data transfer state machine which receives commands from the host for controlling the operations of the second endpoint associated with the function engine.

18. A device controller as recited in claim 1, further comprising  
at least endpoint associated with the function engine; and  
a memory buffer having a plurality of storage locations and associated with the endpoint; and  
an endpoint register having an index field for addressing the memory buffer.

19. A device controller as recited in claim 18, further comprising a counter that is updated every time one of the plurality of the storage locations in the memory buffer is accessed.

~~ABSTRACT OF THE DISCLOSURE~~

A device controller for connecting a function engine that supports an application to a packet-switched serial bus to which a host device is connected. The interface device includes a serial interface engine for transferring packets between the serial bus and the function engine and an interfacing device that employs a plurality of state machines in a device configuration module. The state machines of the device configuration module operate to configure the interfacing device and make that configuration known to the host. Additionally, for each interface of the function engine ~~there~~that is a group of state machines, at least one of which transfers data between the serial interface engine and the function engine ~~and at least one of which receives commands from the host for operating the function engine~~. In one embodiment the serial bus is the USB and the configuration module conforms to the configuration protocol of the USB. As an additional aspect of the invention multiple configurations are supported by the device configuration module. These multiple configurations are user-selectable configurations that can only be changed at configuration time. Once configured the device controller maintains the ~~configuration~~configurations through out its operation until reset and re-configured. Multiple configurations are provided to minimize the number of different device controllers needed in inventory and to provide a single, flexible device for various controller applications.

87325.1-17